

# Welcome to all SAIT & Private Sector Members - CPD Webinar



**World of Customs**  
**Thursday 16 May 2024**

*YOUR KEY TO THE TAX COMMUNITY*

# YOUR HOSTS TODAY



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Susanne Schwarz, joined the WCO as E-Learning Coordinator in August 2020. She is passionate about learning and has a particular interest in peer learning and the usage of technology to facilitate adult learning. At WCO she mainly focuses on the continuous development of the WCO distance training programme for WCO Members and the Private Sector.



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# TOPIC FOR TODAY

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***“The WCO Competencies & requirements for becoming a Globally recognised Customs Expert.”***

**Global changes driving Customs Professionals**

**Hear from the WCO, Leading SAIT, and other International Organisations...**

# What will be covered in Today's Webinar

- The WCO PICARD Professional Standards – Advocating the Customs Vocational Profession - Where do you start?
- Self-determination and self-assessment – Your role, compliance, and status?
- Mutual Recognition Development – AEO and AfCFTA
- Trade Facilitation – Correct process of Dispute Resolutions
- Life-long Learning – Your status of Continuous Professional Development (CPD)
- Conventions & Technology Impacts – Learn what Customs Authorities learn in line with WCO Standards
- WCO E-Learning Modules ava

**CUSTOMS CONTROL**

**IN THE FUTURE !!!**

**Regulation**

**Vs**

**Trade Facilitation**

# AEO – AUTHORISED ECONOMIC OPERATOR

1. SARS Biggest Private Sector Initiative
2. Trade Facilitation
3. Global Agreements
4. Compliance & Security
5. SAIT Compliance & Self-Determination
6. Risk Management Techniques

# The Revised Kyoto Convention

## Preamble to the New Customs Act

WHEREAS current Customs legislation has not kept pace with technological advances and does not fully reflect the modern standards of the **Revised Kyoto Convention** and other related international instruments to which the Republic has assented; AND WHEREAS the **Revised Kyoto Convention** and these other related international instruments serve as a model framework for modern, efficient and cost-effective Customs control and simplified Customs procedures and formalities;

# WCO PICARD PROFESSIONAL STANDARDS

## The 2 Main Categories of Customs Professionals

### I. Definition of Strategic Manager/Leader

This is an individual who looks beyond the business and provides leadership of the organization and has a relevant decision making role.

Their task is to deal with government and external stakeholders to influence, set and communicate the policy under which the Customs organization operates and is accountable for its performance.

### I. Definition of operational managers/leaders

This is a person who is responsible for the day-to-day management of Customs operations.

Their task is to manage Customs resources to ensure that operational objectives of Customs are achieved.



## B. PROFILE AND REQUIREMENTS FOR THE STRATEGIC MANAGERS/LEADERS

### I. Definition of Strategic Manager/Leader

### II. How to read the Professional Standards

### III. Overall knowledge requirements

1. THE CUSTOMS BUSINESS
2. CUSTOMS ROLE IN GOVERNMENT AND INTERACTION WITH OTHER GOVERNMENT AGENCIES (OGA)
3. CUSTOMS POLICY
4. JUDICIAL AND LEGAL SYSTEMS
5. THE INTERNATIONAL SUPPLY CHAIN
6. INTERNATIONAL ECONOMICS
7. STRATEGIC PLANNING
8. CHANGE MANAGEMENT
9. PROJECT, PROGRAMME AND PORTFOLIO MANAGEMENT
10. RISK MANAGEMENT
11. INFORMATION /KNOWLEDGE MANAGEMENT
12. EMERGING TECHNOLOGIES
13. FINANCIAL MANAGEMENT
14. HUMAN RESOURCE MANAGEMENT
15. CUSTOMS INSTRUMENTS FOR ETHICS, GOOD GOVERNANCE & INTEGRITY
16. CUSTOMER MANAGEMENT
17. PUBLIC AND MEDIA RELATIONS AND COMMUNICATION

## C. PROFILE, REQUIREMENTS AND ESSENTIALS/EXAMPLES FOR THE OPERATIONAL MANAGERS/LEADERS

### I. Definition Of Operational Managers/Leaders

### II. How To Read The Professional Standards

### III. Overall Knowledge Requirements:

1. KNOW THE ROLE OF CUSTOMS AND ITS INTERACTION WITH OTHER GOVERNMENT AGENCIES (OGA)
2. KNOWLEDGE OF THE ADMINISTRATION
3. FINANCIAL MANAGEMENT
4. HUMAN RESOURCE MANAGEMENT
5. INFORMATION MANAGEMENT
6. THE CUSTOMS BUSINESS
7. JUDICIAL AND LEGAL SYSTEMS
8. INTERNATIONAL ECONOMICS
9. RISK MANAGEMENT
10. THE INTERNATIONAL SUPPLY CHAIN
11. PUBLIC RELATIONS AND COMMUNICATION
12. CUSTOMS INSTRUMENTS FOR ETHICS, GOOD GOVERNANCE AND INTEGRITY
13. IT KNOWLEDGE APPROPRIATE TO THE CUSTOMS BUSINESS
14. EMERGING TECHNOLOGIES

# AEO Criteria

**Demonstrated  
Compliance with  
Customs Matters**

**Satisfactory System  
for Management of  
Commercial Records**

**Financial Viability**

**Sufficient Customs  
Knowledge**

**Consultation,  
Cooperation and  
Communication**

**Education, Training  
and Awareness**

**Information  
Exchange, Access and  
Confidentiality**

**Cargo Security**

**Conveyance Security**

**Premises Security**

**Personnel Security**

**Trading Partner  
Security**

**Crisis Management  
and Incident Recovery**

**Measurement,  
Analysis and  
Improvement**

**Good Corporate  
Governance**

# SAFE Framework of Standards

- Personnel Security Management System
- Employee File Management
- Pre-Employment Review
- Employee Separation Management
- Visitor Identification and Management
- Identification and Disposition of Unauthorized Access and Unidentified Persons



# SARS: Customs



## Seek

Seek partnership arrangements with AEOs to:-

## Provide

Provide Customs with access to security monitoring systems

## Permit

Permit AEOs to implement alternative means of compliance to satisfy specific security requirements

**ANY REASONABLE PRECAUTIONARY MEASURES TAKEN TO AVOID POSSIBLE DANGER OR DAMAGE**

# Employee File Management



**Records of  
Employees**



**Training  
Completed  
and Record of  
Sanctions**



**Date of  
Employment  
and  
Department**



**Contractors**

## SARS Context – Rule 64E.13(2)(e)



Security measures being contingency plans must be in place for crisis management and incident recovery-

- The applicant must have a contingency plan for crisis management and recovery procedures to mitigate any risk of loss or destruction of the applicant's records and information;

# Possible Validation Techniques

Review	Determine	Verify	Establish
Review written procedures/evidence of implementation that confirms that the economic operator has in place and maintains a security recovery plan that reactivates the security system within a minimum time period.	Determine how incidents are investigated and analyzed, how necessary corrections are implemented.	Verify how the applicant's reports, on a timely basis, an incident or a risk situation to the Customs administration if a security breach has occurred or a potential threat scenario exists.	Establish how the economic operator educates personnel and, if appropriate, business partners, concerning inherent and residual international supply chain risks and corresponding mitigation strategies.



# SARS “SKC”

## Have you heard of this?

The responsible person in the organisation is required to undertake the SARS Customs Sufficient Knowledge Component on-line verification test to acquire AEO status.

SAIT Initiatives

in the

# **Sphere of Customs Compliance & Taxation**

Dr Rodrick van Rooyen



**WATCH THIS  
SPACE...**

For what we still have in store for you for 2024  
Join us for the next CPD Event !!!

# Thank You

For all who have attended CPD Webinars in the past.

For all who attend Work Group Meetings.

For all who promote SAIT Customs Professional Membership, attending, and chairing meetings.